

10/18/00  
JCS93 U.S. PTO

10-19-00

A

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington D.C. 20231  
Box Patent Applications

Docket No. NECN 17.893  
mailed by Express Mail  
(Receipt No. EL522394780US)  
on October 18, 2000  
pursuant to 37 CFR 1.10  
by Lydia Gonzalez

JCS93 U.S. PTO  
09/691456  
10/18/00

SIR:

Transmitted herewith for filing is: ☒ a new application  
☐ a c-i-p application of S.N. \_\_\_\_\_ filed \_\_\_\_\_

Inventor(s): Hisamitsu SUZUKI

For **SHALLOW TRENCH ISOLATION STRUCTURE FOR A BIPOLAR TRANSISTOR**

Enclosed are:

- ☒ 18 sheets of drawings (Figs. 1, 2, 3A-3F, 4A, 4B, 5A, 5B, 6A, 6B, 7-10, 11A-11F, 12-16)
- ☒ Specification, including claims and abstract (27 pages)
- ☒ Declaration
- ☒ An assignment of the Invention to NEC CORPORATION
- ☒ A certified copy of Japanese Application No(s). 11-294885
- ☒ An associate power of attorney
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27
- ☒ Post card
- ☒ Recording fee (as indicated below)
- ☒ Information Disclosure Statement, PTO-1449, copies of 1 references
- ☐ Other \_\_\_\_\_
- ☐ Other \_\_\_\_\_

|  | Col. 1    | Col. 2    |
|--|-----------|-----------|
| FOR:   | NO. FILED | NO. EXTRA |
| BASIC FEE  |           |           |
| TOTAL CLAIMS   | 10-20 =   | 0         |
| INDEP CLAIMS   | 1-3 =     | 0         |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED |           |           |

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

| SMALL ENTITY |       |
|--------------|-------|
| RATE         | FEE   |
|              | \$355 |
| x 9 =        | \$    |
| x 40 =       | \$    |
| x 135 =      | \$    |
| TOTAL        | \$    |

| OTHER THAN A SMALL ENTITY |       |
|---------------------------|-------|
| RATE                      | FEE   |
|                           | \$710 |
| x 18 =                    | \$    |
| x 80 =                    | \$    |
| x 270 =                   | \$    |
| TOTAL                     | \$710 |

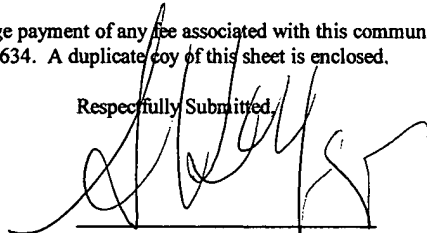
☐ Please charge our Deposit Account No. 08-1634 the amount of \_\_\_\_\_ to cover the filing fee and recording fee (if any)

☒ A check in the amount of \$750.00 to cover the filing fee and the recording fee (if any) is enclosed.

☒ The Commissioner is hereby authorized to charge payment of any fee associated with this communication or credit overpayment to Deposit Account No. 08-1634. A duplicate copy of this sheet is enclosed.

Helfgott & Karas, P.C.  
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Respectfully Submitted,



Date: 10/18/00

Any fee due with this paper, not fully covered by an enclosed check, may be charged on Deposit Acct No. 08-1634

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